

30 September 2026

Notice of hearing

A hearing of ACCA's Disciplinary Committee will take place at 09:30am on 30 October 2026. It will be held remotely but based at the ACCA's Offices, The Adelphi, 1-11 John Adam Street, London, WC2N 6AU.

The hearing is open to any member of the public or the media who wish to attend. However, ACCA is only able to accommodate remote access to the hearing. Please contact adminadjudication@accaglobal.com to obtain information about attending this (or any other) hearing.

The case to be heard on this day concerns Miss Rebecca Phillips.

Allegations

1. Miss Rebecca Phillips, an ACCA member and MLRO for Firm A, on or about the 23 November 2021 submitted or caused to be submitted to ACCA an ACCA Anti-Money Laundering (AML) Risk Assessment Questionnaire representing that:
 - a) A firm-wide risk assessment had been conducted;
 - b) The firm-wide risk assessment was documented; and
 - c) Firm A had documented AML Policies and Procedures in place.
2. In respect of allegation 1 above Miss Phillip's conduct was dishonest in that she sought to represent that:
 - a) A firm-wide risk assessment had been conducted, that it was documented, and updated on a periodic basis, when she knew this was not true.
 - b) Firm A had documented AML Policies and Procedures in place, which she knew not to be true.
3. Miss Phillips in respect of allegation 1 above, further:

- a) Failed to comply with Section B2 (Anti-Money Laundering) of ACCA's Code of Ethics and Conduct (as applicable from 2017 to 2021).
4. In the alternative, in respect of the conduct referred to in allegations 1 and 2 above, Miss Phillips failed to demonstrate integrity.
5. Miss Phillips, an ACCA member, between 26 June 2016 and around 31 May 2023 failed on behalf of Firm A to demonstrate compliance with the requirements of the Money Laundering, Terrorist Financing and Transfer of Funds (Information on the Payer) Regulations 2017), namely:
- a) Regulation 18 (Risk assessment by relevant person) and/or;
 - b) Regulation 19 (Policies, controls and procedures) and/or;
 - c) Regulation 24 (Training).
6. By reason of her conduct Miss Phillips is:
- a) Guilty of misconduct pursuant to bye-law 8(a)(i) in respect of any or all of the matters set out above, and in the alternative
 - b) Liable to disciplinary action pursuant to bye-law 8(a)(iii), in respect of allegation 3(a)

The allegations listed above are current at the date of publication.

The case will be heard by a panel of the ACCA's Disciplinary Committee.

- ends -

For media enquiries, contact:

ACCA News Room

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About ACCA

We are ACCA (the Association of Chartered Certified Accountants), a globally recognised professional accountancy body providing qualifications and advancing standards in accountancy worldwide.

Founded in 1904 to widen access to the accountancy profession, we've long championed inclusion and today proudly support a diverse community of over 252,500 members and 526,000 future members in 180 countries.

Our forward-looking qualifications, continuous learning and insights are respected and valued by employers in every sector. They equip individuals with the business and finance expertise and ethical judgment to create, protect, and report the sustainable value delivered by organisations and economies.

Guided by our purpose and values, our vision is to develop the accountancy profession the world needs. Partnering with policymakers, standard setters, the donor community, educators and other accountancy bodies, we're strengthening and building a profession that drives a sustainable future for all.

Find out more at: www.accaglobal.com